

Tagiugmiullu Nunamiullu Housing Authority 2018 Annual Performance Report



The freshwater lagoon on a calm autumn afternoon in Utqiagvik, Alaska

Arctic Slope Native Association, Ltd. · Native Village of Atqasuk · Native Village of Kaktovik · Native Village of Nuiqsut · Point Lay Tribal Council · Naqsrarmiut Tribal Council (Anaktuvuk Pass) · Wainwright Traditional Council



SECTION 1: COVER PAGE

(1) Grant Number:

55IH0201120

(2) Recipient Program Year:

7/1 - 6/30

(3) Federal Fiscal Year:

2018

(4) Initial Plan (Complete this Section then proceed to Section 2) or an Amended IHP

(6) Annual Performance Report (Complete items 27-30 and proceed to Section 3)

(7) Tribe

(8) TDHE

(9) Name of Recipient:

Tagiugmiullu Nunamiullu Housing Authority

(10) Contact Person:

Griffin W. Hagle

(11) Telephone Number with Area Code (999) 999-9999 :

(907) 852-7160

(12) Mailing Address:

P.O. Box 409

(13) City:

Utqiagvik

(14) State:

Alaska

(15) Zip Code (99999 or 99999-9999):

99723

(16) Fax Number with Area Code (if available) (999) 999-9999 :

(907) 852-2038

(17) Email Address (if available):

griffin.hagle@tnha.net

(18) If TDHE, List Tribes Below:

Arctic Slope Native Association, Ltd. (Arctic Slope Regional Corporation); Naqsragsmiut Tribal Council (Anaktuvuk Pass); Native Village of Atqasuk; Native Village of Kaktovik; Nuiqsut Tribal Council; Native Village of Point Lay IRA Council; Wainwright Traditional Council

(19) Tax Identification Number:

92-0074122

(20) DUNS Number:

007265437

(21) CCR/SAM Expiration Date (MM/DD/YYYY):

01/12/2020

(22) IHBG Fiscal Year Formula Amount:

\$3,849,810

(23) Name of Authorized IHP Submitter:

James H. Sceeles

(24) Title of Authorized IHP Submitter:	Chief Executive Officer
(25) Signature of Authorized IHP Submitter:	
(26) IHP Submission Date(MM/DD/YYYY) :	04/17/2018
(27) Name of Authorized APR Submitter:	Griffin W. Hagle
(28) Title of Authorized APR Submitter:	Chief Executive Officer
(29) Signature of Authorized APR Submitter:	
(30) APR Submission Date (MM/DD/YYYY):	09/30/2019

Certification: The information contained in this document is accurate and reflects the activities actually planned or accomplished during the program year. Activities planned and accomplished are eligible under applicable statutes and regulations.

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosure of information, including intentional disclosure, is subject to a civil money penalty not to exceed \$10,000 for each violation.

ONE YEAR PLAN & ANNUAL PERFORMANCE REPORT

SECTION 2: HOUSING NEEDS

NAHASDA § 102(b)(2)(B)

(1) Type of Need: Check the appropriate box(es) below to describe the estimated types of housing needs and the need for other assistance for low-income Indian families (column B) and all Indian families (column C) inside and outside the jurisdiction.

(A) Type of Need	Check All That Apply	
	(B) Low-Income Indian Families	(C) All Indian Families
(1) Overcrowded Households	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(2) Renters Who Wish to Become Owners	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(3) Substandard Units Needing Rehabilitation	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(4) Homeless Households	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(5) Households Needing Affordable Rental Units	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(6) College Student Housing	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(7) Disabled Households Needing Accessibility	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(8) Units Needing Energy Efficiency Upgrades	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(9) Infrastructure to Support Housing	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(10) Other (specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>

(2) Other Needs. (Describe the “Other” needs below. Note: this text is optional for all needs except “Other.”):

Weatherization; Homebuyer Education and Counseling; Credit Counseling/Repair; Maintenance Counseling

(3) Planned Program Benefits. *(Describe below how your planned programs and activities will address the needs of low income families identified above. Also describe how your planned programs will address the various types of housing assistance needs NAHASDA § 102(b)(2)(B)):*

Tagiugmiullu Nunamiullu Housing Authority (TNHA) will develop Indian Housing Plans (IHP) and strategic plans that will result in more resources being targeted to local investment, and the creation of housing programs and services that better support low income and moderate income families.

TNHA will develop strategies that address regional and local housing need in partnership with local and regional organizations, groups, individuals, and policymakers.

TNHA and regional partners will explore new ways of working together that attract the resources so essential in addressing local and regional housing need.

On a regional basis, TNHA will consult with tribal organizations as well as regional, state and federal entities in order to facilitate the development of comprehensive planning and sharing of resources, which will assure more efficient utilization of available resources with respect to health care, water, sewer, transportation, economic development, education and training, and shelter.

TNHA will complete essential renovation of 1937 Act Current Assisted Stock homes in order to protect and maintain the viability of housing throughout the region. In consideration of funding limitations, TNHA will prioritize three key areas: Regional Energy Efficiency Retrofit Project (LED) Upgrades and Retrofitting Solar Domestic Hot Water Systems and Area wide HRV and Boiler System Upgrades.

TNHA will continue to deliver quality housing services, to include those activities prescribed by NAHASDA or subject contract between the Secretary and the Indian Housing Authority pursuant to the United States Housing Act of 1937 broad heading of Maintenance and Operations. Emergency and non routine maintenance of Mutual Help and NAHASDA homes is provided to the homebuyer, as a courtesy. The cost of such maintenance is borne by the homebuyer. TNHA will provide preventive, routine, and non routine maintenance of TNHA low rent stock.

(4) Geographic Distribution. *Describe below how the assistance will be distributed throughout the geographic area and how this geographic distribution is consistent with the needs of low income families. NAHASDA § 102(b)(2)(B)(i)):*

(1) Analysis of available data contained in the 2010 US Census and HUD 2014 Estimated Allocation to the North Slope Borough Census area, reveals that there were 1125 American Indian/Alaska Native families residing in the six (6) TNHA affiliated villages, or 2268 individuals of American Indian/Alaska Native heritage, and a population of 5403 AIAN individuals in the North Slope Borough Census Region. Analysis of occupancy and quality of life discloses dramatic need. Of the aggregate 1125 AIAN in the TNHA service area, 432 reside in overcrowded conditions and substandard housing. HUD 2014 Estimated Allocation data, confirmed by evaluation of United States Census 2010 outcomes reveal that although all American Indian/Alaska Native families have been included in the 2013 Comprehensive Needs Assessment, due to a demonstrated regional shortage of 538 units of affordable housing (Utqiagvik and Point Hope are included), the urgent need to rehabilitate 859 units in North Slope villages, and the regional AIAN family occupancy of 1027 housing units classified as "Substandard" or "Overcrowded", provision of new and rehabilitated affordable housing for low income families remains TNHA's priority.

The 2017 TNHA Regional IHP Planning establishes the following five year priorities for the distribution of regional resources: 1) Modernization of affordable 1937 Act housing. 2) Within NAHASDA funding and regulatory constraint, life, health, and safety deficiencies, and those low income families who are residing in a TNHA affiliated village and are homeless or residing in substandard or overcrowded housing will receive the highest preference. The 2017 Indian Housing Block Grant utilizes phased selection criteria which establish a priority for modernization of current assisted units throughout the region. Priority will, as always, be established according to the age of the units and assessment indicating severity of need. Phases II through IV will be undertaken in subsequent years following the same criteria.

In this manner, all current assisted stock units in villages throughout the region will have an equal opportunity for service upgrade with overall 2017 IHBG funding distributed in a manner proportional to need and allocation consistent with NAHASDA formula funding for the current year. Despite the fact that provision of affordable housing to low income families remains TNHA's first priority, should housing needs be identified for families who do not meet affordable housing threshold

requirements, TNHA will provide referral to other regional resources.

SECTION 3: PROGRAM DESCRIPTIONS

[102(b)(2)(A)], [233(a)], [235(c)], [404(b)], 24 CFR §1000.512(b)(2) and (3)

Planning and Reporting Program Year Activities

In this section, the recipient must provide a description of its planned eligible activities, and intended outcomes and outputs for the One-Year IHP. The recipient can select any combination of activities eligible under NAHASDA and intended outcomes and outputs that are based on local needs and priorities. There is no maximum or minimum number of eligible activities or intended outcomes and outputs. Rather, the One-Year IHP should include a sufficient number of eligible activities and intended outcomes to fully describe any tasks that the recipient intends to fund in whole or in part with IHBG resources during the coming program year.

Subtitle B of NAHASDA authorizes recipients to establish a program for self-determined housing activities involving construction, acquisition, rehabilitation, or infrastructure relating to housing activities or housing that will benefit the low-income households served by the Indian tribe. A recipient may use up to 20 percent of its annual allocation, but not more than \$2 Million, for this program. Section 233(a) of NAHASDA requires a recipient to include its planned self-determination program activities in the IHP, and Section 235(c) requires the recipient to report the expenditures, outputs, and outcomes for its self-determination program in the APR. For more information, see PIH Notice 2010-35 (Demonstration Program - Self-Determined Housing Activities for Tribal Governments) at http://portal.hud.gov/hudportal/documents/huddoc?id=DOC_8814.pdf

The One-Year IHP is not required to include eligible activities or intended outcomes and outputs that will not receive IHBG resources. For example, the recipient may be planning to apply for Low Income Housing Tax Credits (LIHTC) from its state. If those tax credit projects will not receive IHBG resources, they are not required to be described in the IHP. However, the recipient may wish to include non-IHBG activities in the IHP to provide tribal members with a more complete picture of housing activities.

If an activity will receive partial funding from an IHBG resource, it must be described in the IHP.

For example, if the recipient uses IHBG-funded staff persons to manage, inspect, or maintain an LIHTC-funded rental project, that project would be considered an IHBG-assisted project and the related activities must be described in the IHP.

Planning and Administrative expenses and loan repayments should not be identified as programs in the IHP. That is why there are dedicated rows in the Uses of Funding budget for these expenses. Instead, describe anticipated planning and administrative expenses in Section 6, Line 4 of the IHP, and describe actual planning and administration expenses in Section 6, Line 5 of the APR. Report the planned and actual amount of planning and administrative expenses in the dedicated row of the Uses of Funding budget (Section 5, Line 2). Please note that Reserve Accounts to support planning and administration is an eligible activity and should be identified as a program in the IHP, and any planned or actual expenditure from the Reserve Account would be reported by its program name in the Uses of Funding table.

For the IHP, complete the **unshaded** sections to describe the planned activities, outcomes and outputs in the coming 12-month program year. The recipient must complete Lines 1.1 through 1.4, Lines 1.6 and 1.7, and Line 1.9 for each eligible activity or program planned for the One-Year IHP. For the APR, complete the shaded sections to describe actual accomplishments, outcomes, and outputs for the previous 12-month program year. In particular, complete Lines 1.5, 1.8, 1.9, and 1.10 for each program included in the IHP.

Eligible Activity May Include (citations below all reference sections in NAHASDA)

Eligible Activity	Output Measure	Output Completion
(1) Modernization of 1937 Act Housing [202(1)]	Units	All work completed and unit passed final inspection
(2) Operation of 1937 Act Housing [202(1)]	Units	Number of units in inventory at Program Year End (PYE)
(3) Acquisition of Rental Housing [202(2)]	Units	When recipient takes title to the unit
(4) Construction of Rental Housing [202(2)]	Units	All work completed and unit passed final inspection
(5) Rehabilitation of Rental Housing [202(2)]	Units	All work completed and unit passed final inspection
(6) Acquisition of Land for Rental Housing Development [202(2)]	Acres	When recipient takes title to the land
(7) Development of Emergency Shelters [202(2)]	Households	Number of households served at any one time, based on capacity of the shelter
(8) Conversion of Other Structures to Affordable Housing [202(2)]	Units	All work completed and unit passed final inspection
(9) Other Rental Housing Development [202(2)]	Units	All work completed and unit passed final inspection
(10) Acquisition of Land for Homebuyer Unit Development [202(2)]	Acres	When recipient takes title to the land
(11) New Construction of Homebuyer Units [202(2)]	Units	All work completed and unit passed final inspection
(12) Acquisition of Homebuyer Units [202(2)]	Units	When recipient takes title to the unit
(13) Down Payment/Closing Cost Assistance [202(2)]	Units	When binding commitment signed
(14) Lending Subsidies for Homebuyers (Loan) [202(2)]	Units	When binding commitment signed
(15) Other Homebuyer Assistance Activities [202(2)]	Units	When binding commitment signed
(16) Rehabilitation Assistance to Existing Homeowners [202(2)]	Units	All work completed and unit passed final inspection
(17) Tenant Based Rental Assistance [202(3)]	Households	Count each household once per year
(18) Other Housing Service [202(3)]	Households	Count each household once per year
(19) Housing Management Services [202(4)]	Households	Count each household once per year
(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]	Units	Number of units in inventory at PYE
(21) Crime Prevention and Safety [202(5)]	Dollars	Dollars spent (report in Uses of Funding table only)

(22) Model Activities [202(6)]	Dollars	Dollars spent (report in Uses of Funding table only)
(23) Self-Determination Program [231-235]		
Acquisition	Units	When recipient takes title to the unit
Construction	Units	All work completed and unit passed final inspection
Rehabilitation	Units	All work completed and unit passed final inspection
Infrastructure	Dollars	Dollars spent (report in Uses of Funding table only)
(24) Infrastructure to Support Housing [202(2)]	Dollars	Dollars spent (report in Uses of Funding table only)
(25) Reserve Accounts [202(9)]	N/A	N/A

Outcome May Include:

(1) Reduce over-crowding	(7) Create new affordable rental units
(2) Assist renters to become homeowners	(8) Assist affordable housing for college students
(3) Improve quality of substandard units	(9) Provide accessibility for disabled/elderly persons
(4) Improve quality of existing infrastructure	(10) Improve energy efficiency
(5) Address homelessness	(11) Reduction in crime reports
(6) Assist affordable housing for low income households	(12) Other – must provide description in boxes 1.4 (IHP) and 1.5 (APR) below

IHP: PLANNED PROGRAM YEAR ACTIVITIES (NAHASDA § 102(b)(2)(A))

For each planned activity, complete all the non-shaded sections below. It is recommended that for each program name you assign a unique identifier to help distinguish individual programs. This unique number can be any number of your choosing, but it should be simple and clear so that you and HUD can track tasks and results under the program and collect appropriate file documentation tied to this program.

- One way to number your programs is chronologically. For example, you could number your programs 2011-1, 2011-2, 2011-3 etc.
- Or, you may wish to number the programs based on type. For example rental 1, rental 2, homebuyer1, homebuyer 2 etc. This type of numbering system might be appropriate if you have many programs that last over several years.
- Finally, you may wish to use an outline style of numbering. For example, all programs under your first eligible activity would start with the number 1 and then be consecutively numbered as 1.1, 1.2, 1.3 etc.

APR: REPORTING ON PROGRAM YEAR PROGRESS

Complete the shaded section of text below to describe your completed program tasks and actual results. Only report on activities completed during the 12-month program year . Financial data should be presented using the same basis of accounting as the Schedule of Expenditures of Federal Awards (SEFA) in the annual audit. For unit accomplishments, only count units when the unit was completed and occupied during the year. For households, only count the household if it received the assistance during the previous 12-month program year. (NAHASDA § 404(b))

1.1. Program Name and Unique Identifier:

Modernization of 1937 Act Housing 2018-3 .1 DMD 2018-3.1

1.2. Program Description (This should be the description of the planned program.):

In order to add predictability and fairness to the TNHA modernization program, TNHA will modernize Tagiugmiullu Nunamiullu Housing Authority owned Current Assisted Stock units. High priority items (energy efficient LED Upgrades, Area-wide HRV and Boiler System Upgrades and Energy Efficiency Data Mining will be assessed for inclusion in the 2018 modernization program. The oldest units and those near conveyance will receive priority service.

Modernization Activities may include: Electrical Repair and Improvement; New Boilers and Controls Improvement; Mechanical System Repair and Improvement; Weatherization and Energy Efficiency and Conservation Improvements; Roofing Repair and Improvement; Structural Repair and Improvement; One Point Sewage Connection Systems; Bathroom Fixtures Repair and Improvement; Kitchen Repair and Improvement; Interior Finishes Repair and Improvement; Exterior Finishes Repair and Improvement; Site Repair and Improvement; Review of all systems to include secondary mechanical safety components and determine candidates for off-site remote monitoring; Environmental/Mold assessment and remediation. TNHA will also provide emergency and non-routine maintenance to Mutual Help units in the villages, as a courtesy, under contract with TNHA or through TNHA Construction Services Force Account. The cost of the repair is borne by the homebuyer.

1.3. Eligible Activity Number (Select one activity from the Eligible Activity list. For any activity involving housing units as the output measure (excluding operations and maintenance), do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):

(1) Modernization of 1937 Act Housing [202(1)]

1.4. Intended Outcome Number (Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):

(3) Improve quality of substandard units

Describe Other Intended Outcome (Only if you selected "Other" above):

1.5 Actual Outcome Number (In the APR identify the actual outcome from the Outcome list.):

(3) Improve quality of substandard units

Describe Other Actual Outcome (Only if you selected "Other" above.):

1.6 Who Will Be Assisted (Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median must be included as a separate program within this section.):

Low-income residents eligible for 1937 Act Housing (Low Rent and Mutual Help)

1.7. Types and Level of Assistance (Describe the types and the level of assistance that will be provided to each household, as applicable.):

The types and level of assistance provided will be dependent on individual resident needs in terms of addressing work orders, interim changes, transfers, move-ins and move-outs, and other services as needed on a case-by-case basis. Annual recertifications will be conducted on all participants. Annual inspections will be performed on all units whether occupied or vacant. TNHA Force Account employees will perform interior and exterior modernization. TNHA will complete the upgrades of computer equipment and software at its Housing Management Division Office.

1.8. APR: Describe the accomplishments for the APR in the 12-month program year. In accordance with 24 CFR § 1000.512(b)(3), provide an analysis and explanation of cost overruns or high unit costs.

TNHA force account construction crews completed area-wide indoor air quality (IAQ) improvements on 48 homes as part of funded projects leveraging IHBG and rehabilitated 5 additional homes in FY18 for 53 units modernized total.

1.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
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10

APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
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53

1.10: APR: If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))

2.1. Program Name and Unique Identifier:

Operation of 1937 Act Housing 2018-3.2

DHM 2018-3.2

2.2. Program Description (This should be the description of the planned program.):

Objective is to continue the marketing and management of existing affordable housing programs such as Low Rent and Mutual Help programs that include determination and documentation of eligibility, timely recertification of participants' income, establishment and collection of rent or homebuyer payments, maintenance of the waiting list, processing work order requests, maintaining electronic and paper records, and implementing the tenant selection process in accordance with TNHA established policies and procedures

2.3. Eligible Activity Number (Select one activity from the Eligible Activity list. For any activity involving housing units as the output measure (excluding operations and maintenance), do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):

(2) Operation of 1937 Act Housing [202(1)]

2.4. Intended Outcome Number (Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):

(6) Assist affordable housing for low income households

Describe Other Intended Outcome (Only if you selected "Other" above):

2.5 Actual Outcome Number (In the APR identify the actual outcome from the Outcome list.):

(6) Assist affordable housing for low income households

Describe Other Actual Outcome (Only if you selected "Other" above):

2.6 Who Will Be Assisted (Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median must be included as a separate program within this section.):

Low-income residents eligible for 1937 Act Housing (Low Rent and Mutual Help).

2.7. Types and Level of Assistance (Describe the types and the level of assistance that will be provided to each household, as applicable.):

Tagiugmiullu Nunamiullu Housing Authority will educate homebuyers and homeowners in eight villages across the North Slope and perform activities which promote self-sufficiency of income eligible tenants, homeowners, and homebuyers. TNHA staff will market programs through regional and local media and will conduct a minimum of one annual meeting in each village. TNHA staff will maximize collection of rents and payments through correspondence and personal contact, and will actively reduce Tenant Accounts Receivables by 20%. Staff will actively educate homebuyers and tenants regarding available 1937 Act programs and eligibility standards, self-help and modernization, as well as program criteria and maximums.

2.8. APR: Describe the accomplishments for the APR in the 12-month program year. In accordance with 24 CFR § 1000.512(b)(3), provide an analysis and explanation of cost overruns or high unit costs.

Significant administrative transition during this fiscal year; new CEO and CFO began July and September 2018, respectively, new Housing Supervisor August 2018, new Project Manager in February 2019. Filled remaining Mutual Help unit vacancies filled by the 3rd quarter of fiscal year. New website with customer resources and FAQ launched October 2018. New quarterly digital newsletter launched with 277 subscribers as of end of fiscal year. Social media followers increased from 646 to 763 (18%) over fiscal year. Published census op-ed in regional newspaper March 2019. Council meetings conducted in person or by phone with Point Lay, Anaktuvuk Pass, Nuiqsut, Point Hope, Atqasuk. All villages to be visited in fall-winter 2019.

2.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of **Units** to be Completed in Year Under this Program

Planned Number of **Households** To Be Served in Year Under this Program

Planned Number of **Acres** To Be Purchased in Year Under this Program

211

APR: Actual Number of **Units** Completed in Program Year

APR: Actual Number of **Households** Served in Program Year

APR: Actual Number of **Acres** Purchased in Program Year

211

2.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

3.1. Program Name and Unique Identifier:

Maintenance of Low Income 1937 Act Housing 2018-3.3
DMO 2018-3.3

3.2. Program Description (This should be the description of the planned program.):

The current year IHBG will maintain Tagiugmiullu Nunamiullu Housing Authority owned Current Assisted Stock rental units and on rare occasion other 1937 Act program units. High priority items include: energy efficiency of heating, windows, exterior doors, roof repair, and foundations. Major projects will be assessed for inclusion in the current or upcoming modernization program. Activities may include: electrical repair and replacement; boilers repair and controls improvement; mechanical system repair; weatherization and energy conservation improvements; roofing repair; structural repair; one point sewage connection systems; bathroom fixtures repair; kitchen repair; exterior finishes repairs; interior finishes repairs; site repairs; environmental/mold assessment and remediation; pest control.

3.3. Eligible Activity Number (Select one activity from the Eligible Activity list. For any activity involving housing units as the output measure (excluding operations and maintenance), do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):

(2) Operation of 1937 Act Housing [202(1)]

3.4. Intended Outcome Number (Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):

(6) Assist affordable housing for low income households

Describe Other Intended Outcome (Only if you selected "Other" above):

3.5 Actual Outcome Number (In the APR identify the actual outcome from the Outcome list.):

(6) Assist affordable housing for low income households

Describe Other Actual Outcome (Only if you selected "Other" above):

3.6 Who Will Be Assisted (Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median must be included as a separate program within this section.):

Low-income residents of 1937 Act housing.

3.7. Types and Level of Assistance (Describe the types and the level of assistance that will be provided to each household, as applicable.):

TNHA will provide emergency, routine and non-routine maintenance to low-income rental program tenants. TNHA may also provide emergency and non-routine maintenance to Mutual Help units in the villages, as a courtesy, under contract with TNHA or through TNHA Construction Services. The cost of the maintenance repair is normally borne by the homebuyers.

3.8. APR: Describe the accomplishments for the APR in the 12-month program year. In accordance with 24 CFR § 1000.512(b)(3), provide an analysis and explanation of cost overruns or high unit costs.

TNHA in-house maintenance crews provided emergency, routine, and non-routine maintenance to tenants in its 107 low-income rental units located in Utqiagvik (Barrow).

3.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of **Units** to be Completed in Year Under this Program

Planned Number of **Households** To Be Served in Year Under this Program

Planned Number of **Acres** To Be Purchased in Year Under this Program

107

APR: Actual Number of **Units** Completed in Program Year

APR: Actual Number of **Households** Served in Program Year

APR: Actual Number of **Acres** Purchased in Program Year

107

3.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

4.1. Program Name and Unique Identifier:

Land Acquisition 2018-3.4

DDV 2018-3.4

4.2. Program Description (This should be the description of the planned program.):

TNHA may purchase and acquire clear title or 50 year lease (25 year plus 25 year renewal) to building lots should they become available in the communities of Anaktuvuk Pass, Atqasuk, Kaktovik, Nuiqsut, Point Lay, and Wainwright for the purpose of future homebuyer housing development

4.3. Eligible Activity Number (Select one activity from the Eligible Activity list. For any activity involving housing units as the output measure (excluding operations and maintenance), do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):

(10) Acquisition of Land for Homebuyer Unit Development [202(2)]

4.4. Intended Outcome Number (Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):

(1) Reduce over-crowding

Describe Other Intended Outcome (Only if you selected "Other" above):

4.5 Actual Outcome Number (In the APR identify the actual outcome from the Outcome list.):

(1) Reduce over-crowding

Describe Other Actual Outcome (Only if you selected "Other" above):

4.6 Who Will Be Assisted (Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median must be included as a separate program within this section.):

American Indian/Alaska Native families that meet program eligibility standards and fall within HUD Low-Income Limits for the North Slope Borough region will be eligible to apply for housing through one of TNHA Homeownership programs.

4.7. Types and Level of Assistance (Describe the types and the level of assistance that will be provided to each household, as applicable.):

Acquisition of building lots will facilitate site control for future construction of new homebuyer units.

4.8. APR: Describe the accomplishments for the APR in the 12-month program year. In accordance with 24 CFR § 1000.512(b)(3), provide an analysis and explanation of cost overruns or high unit costs.

No land was purchased this fiscal year.

4.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of **Units** to be Completed in Year Under this Program

Planned Number of **Households** To Be Served in Year Under this Program

Planned Number of **Acres** To Be Purchased in Year Under this Program

1/2

APR: Actual Number of **Units** Completed in Program Year

APR: Actual Number of **Households** Served in Program Year

APR: Actual Number of **Acres** Purchased in Program Year

0

4.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

TNHA did not exercise its option through this program to purchase land this year as funding was not available for new construction.

5.1. Program Name and Unique Identifier:

Rental and Homeownership Counseling 2018-3.5 DHS 2018-3.5

5.2. Program Description (This should be the description of the planned program.):

TNHA will assure that tenants and homebuyers understand and fulfill their obligations (timely payments; maintain apartments and homes in a safe and sanitary manner; budget properly) through implementation of timely and consistent counseling and education. TNHA will also ensure that information regarding the full spectrum of low-income loan and grant programs is made available to the residents of our North Slope communities.

5.3. Eligible Activity Number (Select one activity from the Eligible Activity list. For any activity involving housing units as the output measure (excluding operations and maintenance), do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):

(18) Other Housing Services [202(3)]

5.4. Intended Outcome Number (Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):

(6) Assist affordable housing for low income households

Describe Other Intended Outcome (Only if you selected "Other" above):

5.5 Actual Outcome Number (In the APR identify the actual outcome from the Outcome list.):

(6) Assist affordable housing for low income households

Describe Other Actual Outcome (Only if you selected "Other" above):

5.6 Who Will Be Assisted (Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median must be included as a separate program within this section.):

American Indian/Alaska Native Low-Income Residents, Applicants and those eligible for program benefits.

5.7. Types and Level of Assistance (Describe the types and the level of assistance that will be provided to each household, as applicable.):

TNHA will assure that information regarding the full spectrum of low-income loan and grant programs is disseminated to our communities through posting on website www.TNHA.info and distribution of information at annual meetings. Staff will ensure that a minimum of one hundred (100) homebuyers and tenants receive appropriate counseling, using the annual informational sign-in sheets and Housing Data System (HDS) to track the participants in the counseling program.

5.8. APR: Describe the accomplishments for the APR in the 12-month program year. In accordance with 24 CFR § 1000.512(b)(3), provide an analysis and explanation of cost overruns or high unit costs.

TNHA updated its website and digital media presence and conducted well-attended public meetings in several villages. Housing supervisor attended Pathways Home training. New rental specialist hired June 2019.

5.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of **Units** to be Completed in Year Under this Program

Planned Number of **Households** To Be Served in Year Under this Program

Planned Number of **Acres** To Be Purchased in Year Under this Program

107

APR: Actual Number of **Units** Completed in Program Year

APR: Actual Number of **Households** Served in Program Year

APR: Actual Number of **Acres** Purchased in Program Year

220

5.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

6.1. Program Name and Unique Identifier:

Inspection of 1937 Act Housing 2018-3.6 DHM 2018-3.6

6.2. Program Description *(This should be the description of the planned program.):*

TNHA will assure that periodic, move-in and move-out, and special inspections are conducted as prescribed by TNHA policy.

6.3. Eligible Activity Number *(Select one activity from the Eligible Activity list. For any activity involving housing units as the output measure (excluding operations and maintenance), do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):*

(19) Housing Management Services [202(4)]

6.4. Intended Outcome Number *(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):*

(3) Improve quality of substandard units

Describe Other Intended Outcome *(Only if you selected "Other" above):*

6.5 Actual Outcome Number *(In the APR identify the actual outcome from the Outcome list.):*

Describe Other Actual Outcome *(Only if you selected "Other" above.):*

6.6 Who Will Be Assisted *(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median must be included as a separate program within this section.):*

Low-income Residents of 1937 Act Housing.

6.7. Types and Level of Assistance *(Describe the types and the level of assistance that will be provided to each household, as applicable.):*

TNHA will assure that periodic, move-in and move-out, and special inspections are conducted as prescribed by TNHA policy. Staff will advise tenants and homebuyers regarding maintenance obligations, repair techniques, and community resources. Inspections will be documented on the TNHA checklist and recorded in HDS also included will be pictures and other pertinent data. TNHA management will be immediately notified of Life, Health, and Safety issues and concerns. Outcomes will be utilized in long-term planning of modernization projects.

6.8. APR: *Describe the accomplishments for the APR in the 12-month program year. In accordance with 24 CFR § 1000.512(b)(3), provide an analysis and explanation of cost overruns or high unit costs.*

6.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of **Units** to be Completed in Year Under this Program

Planned Number of **Households** To Be Served in Year Under this Program

Planned Number of **Acres** To Be Purchased in Year Under this Program

50

APR: Actual Number of **Units** Completed in Program Year

APR: Actual Number of **Households** Served in Program Year

APR: Actual Number of **Acres** Purchased in Program Year

84

6.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

7.1. Program Name and Unique Identifier:

Operation and Maintenance of NAHASDA-Assisted Units- 2018-3.7
DHM 2018-3.7

7.2. Program Description (This should be the description of the planned program.):

TNHA will operate and maintain its NAHASDA-Assisted Units (14 NAHASDA and 29 Contract for Conditional Installment Sale of Home Program) in accordance with its adopted policies and procedures. This will include: 1.) Performance of routine and non-routine maintenance work orders; 2.) Unit inspections; 3.) Leasing management functions such as wait list management, selections, evictions, counseling and training; 4.) Program oversight; 5.) Financial management and homebuyer payment collection; 6.) Insurance coverage; 7.) Homebuyer records management; 8.) Energy Efficiency Data Mining; 9.) Repayment of Title VI loan; 10.) Review of all systems to include secondary mechanical safety components and determine candidates for off-site monitoring.

7.3. Eligible Activity Number (Select one activity from the Eligible Activity list. For any activity involving housing units as the output measure (excluding operations and maintenance), do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):

(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]

7.4. Intended Outcome Number (Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):

(6) Assist affordable housing for low income households

Describe Other Intended Outcome (Only if you selected "Other" above):

7.5 Actual Outcome Number (In the APR identify the actual outcome from the Outcome list.):

(6) Assist affordable housing for low income households

Describe Other Actual Outcome (Only if you selected "Other" above):

7.6 Who Will Be Assisted (Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median must be included as a separate program within this section.):

Low-income residents eligible for NAHASDA programs.

7.7. Types and Level of Assistance (Describe the types and the level of assistance that will be provided to each household, as applicable.):

The types and level of assistance provided will be dependent on individual resident needs in terms of addressing work orders, interim changes, transfers, move-ins and move-outs, and other services as needed on a case-by-case basis. Annual recertifications will be conducted on all participants. Annual inspections and preventive maintenance services will be performed on all units whether occupied or vacant.

7.8. APR: Describe the accomplishments for the APR in the 12-month program year. In accordance with 24 CFR § 1000.512(b)(3), provide an analysis and explanation of cost overruns or high unit costs.

7.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of **Units** to be Completed in Year Under this Program

Planned Number of **Households** To Be Served in Year Under this Program

Planned Number of **Acres** To Be Purchased in Year Under this Program

43

APR: Actual Number of **Units** Completed in Program Year

APR: Actual Number of **Households** Served in Program Year

APR: Actual Number of **Acres** Purchased in Program Year

43

7.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

SECTION 4: MAINTAINING 1937 ACT UNITS, DEMOLITION, AND DISPOSITION

NAHASDA §§ 102(b)(2)(A)(v), 102(b)(2)(A)(iv)(I-III)

(1) Maintaining 1937 Act Units (NAHASDA § 102(b)(2)(A)(v)) *(Describe specifically how you will maintain and operate your 1937 Act housing units in order to ensure that these units will remain viable.)* :

TNHA will provide emergency and non-routine maintenance to Mutual Help units in the villages, as a courtesy, under contract with TNHA or through TNHA Construction Services. The cost of the repair is borne by the homebuyers, and may require extending the term of the MHOA.

(2) Demolition and Disposition (NAHASDA § 102(b)(2)(A)(iv)(I-III), 24 CFR 1000.134) Describe any planned demolition or sale of 1937 Act or NAHASDA-assisted housing units. If the recipient is planning on demolition or disposition of 1937 Act or NAHASDA-assisted housing units, be certain to include the timetable for any planned demolition or disposition and any other information that is required by HUD with respect to the demolition or disposition.

There is no planned demolition or sale of 1937 Act or NAHASDA-assisted housing units.

SECTION 5: BUDGETS

NAHASDA §§ 102(b)(2)(C), 404(b)

(1) Sources of Funding (NAHASDA § 102(b)(2)(C)(i), (404(b)) (Complete the non-shaded portions of the chart below to describe your estimated or anticipated sources of funding for the 12-month program year. **APR Actual Sources of Funding -- Please complete the shaded portions of the chart below to describe your actual funds received. Only report on funds actually received and under a grant agreement or other binding commitment during the 12-month program year.**)

SOURCE	IHP					APR					
	(A) Estimated amount on hand at beginning of program year	(B) Estimated amount to be received during 12-month program year	(C) Estimated total sources of funds (A+B)	(D) Estimated funds to be expended during 12-month program year	(E) Estimated unexpended funds remaining at end of program year (C-D)	(F) Actual amount on hand at beginning of program year	(G) Actual amount received during 12-month program year	(H) Actual total sources of funding (F+G)	(I) Actual funds expended during 12-month program year	(J) Actual unexpended funds remaining at end of 12-month program year (H - I)	(K) Actual unexpended funds obligated but not expended at end of 12-month program year
1. IHBG Funds	\$4,736,132	\$3,849,810	\$8,585,942	\$3,849,810	\$4,736,132	\$4,538,612	\$8,000,158	\$12,538,770	\$3,496,032	\$9,042,738	\$9,042,738
2. IHBG Program Income	\$30,100	\$0	\$30,100	\$0	\$30,100	\$98,994	\$155,362	\$254,356	\$98,994	\$155,362	\$155,362
3. Title VI	\$0	\$0	\$0	\$0	\$0			\$0		\$0	
4. Title VI Program Income	\$0	\$0	\$0	\$0	\$0			\$0		\$0	
5. 1937 Act Operating Reserves	\$0		\$0	\$0	\$0					\$0	
6. Carry Over 1937 Act Funds	\$487,449		\$487,449	\$487,449	\$0	\$646,001		\$646,001	\$646,001	\$0	
LEVERAGED FUNDS											
7. ICDBG Funds	\$0	\$0	\$0	\$0	\$0			\$0		\$0	
8. Other Federal Funds	\$0	\$0	\$0	\$0	\$0			\$0		\$0	

9. LIHTC	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
10. Non-Federal Funds	\$288,875	\$118,782	\$407,657	\$407,657	\$0	\$317,899	\$102,121	\$420,020	\$113,683	\$306,337	\$306,337	\$306,337	\$306,337
TOTAL	\$5,542,556	\$3,968,592	\$9,511,148	\$9,511,148	\$4,766,232	\$5,601,506	\$8,257,641	\$13,859,147	\$4,354,710	\$9,504,437	\$9,504,437	\$9,504,437	\$9,504,437
TOTAL Columns C & H, 2 through 10			\$925,206					\$1,320,377					

Notes:

- a. For the IHP, fill in columns A, B, C, D, and E (non-shaded columns). For the APR, fill in columns F, G, H, I, J, and K (shaded columns).
- b. Total of Column D should match the total of Column N from the Uses of Funding table below.
- c. Total of Column I should match the Total of Column Q from the Uses of Funding table below.
- d. For the IHP, describe any estimated leverage in Line 3 below (Estimated Sources or Uses of Funding). For the APR, describe actual leverage in Line 4 below.

(2) Uses of Funding (NAHASDA § 102(b)(2)(C)(ii)) (Note that the budget should not exceed the total funds on hand (Column C) and insert as many rows as needed to include all the programs identified in Section 3. Actual expenditures in the APR section are for the 12-month program year.)

PROGRAM NAME	IHP			APR			Total all other funds expended in 12-month program year (O+P)
	(L) Prior and current year IHBG (only) funds to be expended in 12-month program year	(M) Total all other funds to be expended in 12-month program year	(N) Total funds to be expended in 12-month program year (L+M)	(O) Total IHBG (only) funds expended in 12-month program year	(P) Total all other funds expended in 12-month program year	(Q)	
Modernization of 1937 Act Housing 2018-3 .1 DMD 2018-3.1	\$1,053,218	\$407,657	\$1,460,875	\$1,266,745	\$113,683	\$1,380,428	
Operation of 1937 Act Housing 2018-3.2 DHM 2018-3.2	\$349,449	\$0	\$349,449	\$287,657		\$287,657	
Maintenance of Low Income 1937 Act Housing 2018-3.3 DMO 2018-3.3	\$0	\$487,449	\$487,449	\$624,145		\$624,145	

Land Acquisition 2018-3.4 DDV 2018-3.4	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Rental and Homeownership Counseling 2018-3.5 DHS 2018-3.5	\$313,519	\$0	\$313,519	\$261,985	\$261,985	\$261,985	\$261,985	\$261,985
Inspection of 1937 Act Housing 2018-3.6 DHM 2018-3.6	\$100,000	\$0	\$100,000	\$30,300	\$30,300	\$30,300	\$30,300	\$30,300
Operation and Maintenance of NAHASDA-Assisted Units- 2018-3.7 DHM 2018-3.7	\$183,247	\$0	\$183,247	\$732	\$732	\$732	\$732	\$732
Planning and Administration	\$769,961	\$0	\$769,961	\$506,962	\$506,962	\$506,962	\$506,962	\$506,962
Loan repayment - describe in 3 & 4 below	\$1,080,416	\$0	\$1,080,416	\$1,262,502	\$1,262,502	\$1,262,502	\$1,262,502	\$1,262,502
TOTAL	\$3,849,810	\$895,106	\$4,744,916	\$4,241,027	\$113,683	\$4,354,710	\$4,354,710	\$4,354,710

Notes:

- a. Total of Column L cannot exceed the IHBG funds from Column C, Row 1 from the Sources of Funding table in Line 1 above.
- b. Total of Column M cannot exceed the total from Column C, Rows 2-10 from the Sources of Funding table in Line 1 above.
- c. **Total of Column O cannot exceed total IHBG funds received in Column H, Row 1 from the Sources of Funding table in Line 1 above.**
- d. **Total of Column P cannot exceed total of Column H, Rows 2-10 of the Sources of Funding table in Line 1 above.**
- e. **Total of Column Q should equal total of Column I of the Sources of Funding table in Line 1 above.**

(3) Estimated Sources or Uses of Funding (NAHASDA § 102(b)(2)(C)). (Provide any additional information about the estimated sources or uses of funding, including leverage (if any). You must provide the relevant information for any planned loan repayment listed in the Uses of Funding table on the previous page. This planned loan repayment can be associated with Title VI or with private or tribal funding that is used for an eligible activity described in an IHP that has been determined to be in compliance by HUD. The text must describe which specific loan is planned to be repaid and the NAHASDA-eligible activity and program associated with this loan):

Repayment of Title VI loan; NAHASDA-eligible activity; (20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)] and 9.) Repayment of Title VI loan found in Program Description 3.7

(4) APR (NAHASDA § 404(b)) (Enter any additional information about the actual sources or uses of funding, including leverage (if any). You must provide the relevant information for any actual loan repayment listed in the Uses of Funding table on the previous page. The text must describe which loan was repaid and the NAHASDA-eligible activity and program associated with this loan.):

SECTION 6: OTHER SUBMISSION ITEMS

[102(b)(2)(C)(ii)], [201(b)(5)], [202(6)], [205(a)(2)], [209], 24 CFR §§ 1000.108, 1000.120, 1000.142, 1000.238, 1000.302

(1) Useful Life/Affordability Period(s) (NAHASDA § 205, 24 CFR § 1000.142) *(Describe your plan or system for determining the useful life/affordability period of the housing it assists with IHBG and/or Title VI funds must be provided in the IHP. A record of the current, specific useful life/affordability period for housing units assisted with IHBG and/or Title VI funds (excluding Mutual Help) must be maintained in the recipient’s files and available for review for the useful life/affordability period.):*

While existing properties will likely be utilized well beyond what many may define as useful life, we believe given adequate and timely preventive maintenance, routine maintenance, non routine maintenance, and periodic upgrades, existing units may have an anticipated useful life in excess of 20 or 25 years. However, the period for which NAHASDA Assisted homebuyer units or NAHASDA Contract for Conditional Installment Sale of Home units requires that the units remain affordable is 25 or 20 years. It is anticipated that TNHA shall own and control occupancy of NAHASDA homebuyer units for a minimum period of 25 years and own and control occupancy of NAHASDA Contract for Conditional Installment Sale of Home units for a minimum period of 20 years; consequently, the required useful life of TNHA owned units is also established at 25 or 20 years dependent on the type of program unit was under at time of construction. NAHASDA units receiving assistance after construction, by work order or modernization efforts, shall have the useful life of that assistance computed in this manner:

IHBG Resources Invested	Affordability Period
Under \$5,000.....	6 months
\$5,000 to \$15,000.....	5 years
\$15,001 to \$40,000.....	10 years
Over \$ 40,000.....	15 years
New Construction or acquisition of newly constructed housing...	20 years

TNHA assures useful life through the required move in, move out, and periodic inspections per TNHA Admissions and Occupancy Policy. TNHA staff will inspect the unit periodically, preferably once a year; in no case shall the elapsed time between inspections exceed three years. Additional inspections may be required where TNHA has reason to believe that the homebuyer is not maintaining the unit in a decent, safe and sanitary condition; may have abused the unit; is not occupying the unit as required; and other conditions permitted by law. Failure to properly maintain the unit is grounds for termination from TNHA affordable housing programs. TNHA assures that housing remains affordable through examination of family income prior to admission and re examination periodically thereafter, preferably annually, as prescribed by TNHA Admissions and Occupancy Policy.

(2) Model Housing and Over-Income Activities (NAHASDA § 202(6), 24 CFR § 1000.108) *(If you wish to undertake a model housing activity or wish to serve non-low-income households during the 12-month program year, those activities may be described here, in the program description section of the 1-year plan, or as a separate submission.):*

TNHA has no model and over income activities planned for this program year.

(3) Tribal and Other Indian Preference (NAHASDA § 201(b)(5), 24 CFR § 1000.120) If preference will be given to tribal members or other Indian families, the preference policy must be described. This information may be provided here or in the program description section of the 1-year plan.

Does the Tribe have a preference policy? Yes No

If yes, describe the policy.

(4) Anticipated Planning and Administration Expenses (NAHASDA § 102(b)(2)(C)(ii), 24 CFR § 1000.238)

Do you intend to exceed your allowable spending cap for Planning and Administration? Yes No

If yes, describe why the additional funds are needed for Planning and Administration. For a recipient administering funds from multiple grant beneficiaries with a mix of grant or expenditure amounts, for each beneficiary state the grant amount or expenditure amount, the cap percentage applied, and the actual dollar amount of the cap.

(5) Actual Planning and Administration Expenses (NAHASDA § 102(b)(2)(C)(ii), 24 CFR § 1000.238)

Did you exceed your spending cap for Planning and Administration? Yes No

If yes, did you receive HUD approval to exceed the cap on Planning and Administration costs? Yes No

If you did not receive approval for exceeding your spending cap on planning and administration costs, describe the reason(s) for exceeding the cap. (See Section 6, Line 5 of the Guidance for information on carry-over of unspent planning and administration expenses.)

(6) Expanded Formula Area - Verification of Substantial Housing Services (24 CFR § 1000.302(3)) If your tribe has an expanded formula area (i.e., an area that was justified based on housing services provided rather than the list of areas defined in 24 CFR § 1000.302 Formula Area (1)), the tribe must demonstrate that it is continuing to provide substantial housing services to that expanded formula area. Does the tribe have an expanded formula area?

Yes No If no, proceed to Section 7.

If yes, list each separate geographic area that has been added to the Tribe's formula area and the documented number of Tribal members residing there.

For each separate formula area expansion, list the budgeted amount of IHBG and other funds to be provided to all American Indian and Alaska Native (AIAN) households and to only those AIAN households with incomes 80% of median income or lower during the recipient's 12-month program year:

Total Expenditures on Affordable Housing Activities for:		
	All AIAN Households	AIAN Households with Incomes 80% or Less of Median Income
IHBG Funds:		
Funds from Other Sources:		

(7) APR: If answered "Yes" in Line 6, for each separate formula area, list the amount of IHBG and other funds expended for all AIAN households and for only AIAN households with incomes 80% of median income or lower during the recipient's 12-month program year.

Total Expenditures on Affordable Housing Activities for:		
	All AIAN Households	AIAN Households with Incomes 80% or Less of Median Income
IHBG Funds:		
Funds from Other Sources:		

SECTION 7: INDIAN HOUSING PLAN CERTIFICATION OF COMPLIANCE

NAHASDA § 102(b)(2)(D)

By signing the IHP, the recipient certifies its compliance with Title II of the Civil Rights Act of 1968 (25 USC Part 1301 et seq.), and ensures that the recipient has all appropriate policies and procedures in place to operate its planned programs. The recipient should not assert that it has the appropriate policies and procedures in place if these documents do not exist in its files, as this will be one of the items verified during any HUD monitoring review.

(1) In accordance with applicable statutes, the recipient certifies that:

It will comply with Title II of the Civil Rights Act of 1968 in carrying out this Act, to the extent that such title is applicable, and other applicable federal statutes.

Yes No

(2) In accordance with 24 CFR 100.328, the recipient receiving less than \$200,000 under FCAS certifies that:

There are households within its jurisdiction at or below 80 percent of median income.

Yes No Not Applicable

(3) The following certifications will only apply where applicable based on program activities.

a. It will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under NAHASDA, in compliance with such requirements as may be established by HUD;

Yes No Not Applicable

b. Policies are in effect and are available for review by HUD and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under NAHASDA;

Yes No Not Applicable

c. Policies are in effect and are available for review by HUD and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under NAHASDA; and

Yes No Not Applicable

d. Policies are in effect and are available for review by HUD and the public governing the management and maintenance of housing assisted with grant amounts provided under NAHASDA.

Yes No Not Applicable

SECTION 8: IHP TRIBAL CERTIFICATION

NAHASDA § 102(c)

This certification is used when a Tribally Designated Housing Entity (TDHE) prepares the IHP or IHP amendment on behalf of a tribe.

This certification must be executed by the recognized tribal government covered under the IHP.

(1) The recognized tribal government of the grant beneficiary certifies that:

(2) It had an opportunity to review the IHP or IHP amendment and has authorized the submission of the IHP by the TDHE; or

(3) It has delegated to such TDHE the authority to submit an IHP or IHP amendment on behalf of the Tribe without prior review by the Tribe.

(4) Tribe:	
(5) Authorized Official's Name and Title:	
(6) Authorized Official's Signature:	
(7) Date (MM/DD/YYYY):	

SECTION 9: TRIBAL WAGE RATE CERTIFICATION

NAHASDA §§ 102(b)(2)(D)(vi), 104(b)

By signing the IHP, you certify whether you will use tribally determined wages, Davis-Bacon wages, or HUD determined wages. Check only the applicable box below.

- (1) You will use tribally determined wage rates when required for IHBG-assisted construction or maintenance activities. The Tribe has appropriate laws and regulations in place in order for it to determine and distribute prevailing wages.
- (2) You will use Davis-Bacon or HUD determined wage rates when required for IHBG-assisted construction or maintenance activities.
- (3) You will use Davis-Bacon and/or HUD determined wage rates when required for IHBG-assisted construction except for the activities described below.

(4) If you checked the box in Line 3, list the other activities that will be using tribally determined wage rates:

--

SECTION 10: SELF-MONITORING

NAHASDA § 403(b), 24 CFR §§ 1000.26, 85.37, 85.40

(1) Do you have a procedure and/or policy for self-monitoring?

Yes No

(2) Pursuant to 24 CFR § 1000.502(b) where the recipient is a TDHE, did the TDHE provide periodic progress reports including the self-monitoring report, Annual Performance Report, and audit reports to the Tribe?

Yes No Not Applicable

(3) Did you conduct self-monitoring, including monitoring sub-recipients?

Yes No

(4) **Self-Monitoring Results.** *(Describe the results of the monitoring activities, including corrective actions planned or taken.):*

Self-Monitoring Report for FY 2018 recommended newly hired CFO attend NAHASDA and housing finance-related seminars as soon as possible to maintain financial compliance. New CFO has attended training on the following topics over the past year: 2 CFR 200, Admissions and Occupancy, Audit Prep, Basic Financial Management, IHP/APR, Indian Housing Law, and NAHASDA Essentials. Additionally, several accounting staff have received training and been promoted up the career ladder. New CFO has also implemented several cost-saving and cash-flow improvement measures that have improved efficiency within the department and organization overall.

SECTION 11: INSPECTIONS

NAHASDA § 403(b)

(1) Inspection of Units (Use the table below to record the results of recurring inspections of assisted housing.)

Activity		Results of Inspections				Total number of units inspected
		Total Number of Units (Inventory)	Units in standard condition	Units needing rehabilitation	Units needing to be replaced	
(a)		(b)	(c)	(d)	(e)	(f)
1	1937 Housing Act Units:					
	a. Rental	107	66	0	0	66
	b. Homeownership	72	18	5	0	23
	c. Other					0
1937 Act Subtotal		179	84	5	0	89
2	NAHASDA assisted units:					
	a. Rental					0
	b. Homeownership	43	13	0	0	13
	c. Rental Assistance					0
	d. Other					0
NAHASDA Subtotal		43	13	0	0	13
Total		222	97	5	0	102

(2) Did you comply with your inspection policy:

Yes No

(3) If no, why not:

SECTION 12: AUDITS

24 CFR § 1000.544

This section is used to indicate whether a financial audit based on the Single Audit Act and 2 CFR Part 200 Subpart F is required, based on a review of your financial records.

Did you expend \$750,000 or more in total Federal awards during the APR reporting period?

Yes No

If Yes, an audit is required to be submitted to the Federal Audit Clearinghouse and your Area Office of Native American Programs.

If No, an audit is not required.

SECTION 13: PUBLIC AVAILABILITY

NAHASDA § 408, 24 CFR § 1000.518

(1). Did you make this APR available to the citizens in your jurisdiction before it was submitted to HUD (24 CFR § 1000.518)?

Yes No

(2) If you are a TDHE, did you submit this APR to the Tribe(s) (24 CFR § 1000.512)?

Yes No Not Applicable

(3) If you answered "No" to question #1 and/or #2, provide an explanation as to why not and indicate when you will do so.

(4) Summarize any comments received from the Tribe(s) and/or the citizens (NAHASDA § 404(d)).

SECTION 14: JOBS SUPPORTED BY NAHASDA
NAHASDA § 404(b)

Use the table below to record the number of jobs supported with IHBG funds each year.

Indian Housing Block Grant Assistance (IHBG)	
(1) Number of Permanent Jobs Supported	16
(2) Number of Temporary Jobs Supported	12

(3) Narrative (optional):

SECTION 15: IHP WAIVER REQUESTS

NAHASDA § 101(b)(2)

THIS SECTION IS ONLY REQUIRED IF THE RECIPIENT IS REQUESTING A WAIVER OF AN IHP SECTION OR A WAIVER OF THE IHP SUBMISSION DUE DATE.

A waiver is valid for a period not to exceed 90 days. Fill out the form below if you are requesting a waiver of one or more sections of the IHP. **NOTE** : This is NOT a waiver of the IHBG program requirements but rather a request to waive some of the IHP submission items.

(1) List below the sections of the IHP where you are requesting a waiver and/or a waiver of the IHP due date. *List the requested waiver sections by name and section number):*

(2) Describe the reasons that you are requesting this waiver (*Describe completely why you are unable to complete a particular section of the IHP or could not submit the IHP by the required due date.):*

(3) Describe the actions you will take in order to ensure that you are able to submit a complete IHP in the future and/or submit the IHP by the required due date. (*This section should completely describe the procedural, staffing or technical corrections that you will make in order to submit a complete IHP in the future and/or submit the IHP by the required due date.):*

(4) Recipient:

(5) Authorized Official's Name and Title:

(6) Authorized Official's Signature:

(7) Date (MM/DD/YYYY):